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# Evolution of the relative abundance of C<sub>4</sub> plants on the Chinese Loess Plateau since the Last Glacial Maximum and its implications

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ABSTRACT: Understanding the distribution of  $C_3$  and  $C_4$  plants and its forcing mechanisms since the Last Glacial Maximum (LGM) is important for anticipating their possible response to future climate change. The spatiotemporal pattern of  $C_4$  plant abundance on the Chinese Loess Plateau (CLP) is complex and the dominant causal factors are contentious. Here, we use  $\delta^{13}$ C records of organic matter in paleosols from the CLP to reconstruct changes in the representation of  $C_4$  plants since the LGM. The results indicate that the relative abundance of  $C_4$  plants increased after the LGM, reaching a maximum during 10–6 ka bp, and then decreased. Spatially, the representation of  $C_4$  plants was characterized by increasing values from north-west to south-east. In addition, the smallest spatial difference ( $\sim$ 10%) in the representation of  $C_4$  plants between the north-west and south-east parts of the CLP was during the LGM, and the largest difference ( $\sim$ 30%) was during the early Holocene. We combined our findings with output from the BIOME4 model to study the sensitivity of  $C_4$  plants to changes in climate and atmospheric  $CO_2$  concentration. The results suggest that increasing temperature was the dominant factor driving  $C_4$  plant expansion on the CLP since the LGM. © 2019 John Wiley & Sons, Ltd.

KEYWORDS: BIOME4; C<sub>3</sub>/C<sub>4</sub> plants; Chinese Loess Plateau; organic carbon isotope; temperature.

### Introduction

The IPCC 5th report (Stocker *et al.*, 2013) indicates that average global temperature will increase by 1.1-2.6 °C by the end of the present century, which will significantly affect terrestrial ecosystems.  $C_3$  and  $C_4$  plants are the most important components of terrestrial ecosystems (Sage *et al.*, 1999), and the relative abundance of  $C_3$  and  $C_4$  plants depends strongly on both the atmospheric  $CO_2$  concentration and seasonal climate change (Ehleringer *et al.*, 1997; Sage *et al.*, 1999).  $C_4$  plants are favored under lower  $pCO_2$  conditions when accompanied by elevated temperatures (Cerling *et al.*, 1997). Therefore, the impact of global warming on changes in  $C_3$  and  $C_4$  plants has been the subject of intensive investigation in recent years.

The Last Glacial Maximum (LGM) was the last time during the last glacial period when ice sheets were at their maximum extent (Clark et al., 2009), and reconstructed global surface temperatures were ~4–5 °C lower than today (e.g. Bartlein et al., 2011; Shakun et al., 2012). The warming interval from the LGM to the Holocene was accompanied by abrupt warming/cooling events and significant changes in atmospheric CO<sub>2</sub> concentration (Masson-Delmotte et al., 2013). Climate reconstructions also indicate that Northern Hemisphere annual temperature during the early and middle Holocene was ~0.8–1 °C higher than at present (Shakun et al., 2012; Marcott et al., 2013), which is comparable to projected climatic conditions in the future, given ongoing

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global warming. Thus, understanding changes in the relative abundance of  $C_3$  and  $C_4$  plants since the LGM may help anticipate their responses to future climate change.

The Chinese Loess Plateau (CLP) is located in the marginal zone of the region of influence of the East Asian summer monsoon. The climatic gradient is steep (Qian, 1991) and thus the area is sensitive to climatic changes. The continuous loesspaleosol sequences of the CLP are valuable archives for investigating the evolution of terrestrial ecosystems (Liu, 1985). Studies of the carbon isotope composition of soil organic matter (SOM) and leaf wax n-alkanes from the loess-paleosol sequences indicate that the relative abundance of C<sub>4</sub> plants generally increased from the LGM to the Holocene (e.g. Gu et al., 2003; Zhang et al., 2003; Liu et al., 2005a; Yang et al., 2015), but the spatiotemporal pattern of variation was significantly different. Therefore, the nature of the spatiotemporal evolution of C<sub>4</sub> abundance on the CLP since the LGM is an important unresolved issue. Furthermore, there is debate regarding whether an enhanced East Asian monsoon (Vidic and Montañez, 2004; Liu et al., 2005a; Yang et al., 2015) or increasing temperature (Gu et al., 2003; Zhang et al., 2003; Rao et al., 2012) was the dominant influence on  $C_4$  expansion. Therefore, the relative importance of these factors needs to be investigated in more detail to improve our understanding of the main driver of C<sub>4</sub> expansion in the region.

Here, we use a synthesis of carbon isotope records of SOM from 28 loess–paleosol sequences since the LGM, and of modern surface soils across the CLP, to characterize the spatiotemporal pattern of changing C<sub>4</sub> abundance. Subsequently, we used a vegetation model, BIOME4 (Kaplan *et al.*, 2003; Hatté and Guiot, 2005), based on the physiological

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responses of  $C_3$  and  $C_4$  plants to changing atmospheric  $CO_2$  levels and climate changes, to improve our understanding of the forcing mechanisms of  $C_4$  plant expansion, and to elucidate the effects of temperature, precipitation and atmospheric  $CO_2$  concentration on changes in  $C_3$  and  $C_4$  abundance on the CLP.

### **Regional setting**

The CLP is located in northern China (Fig. 1), which experiences temperate, arid and semi-arid climati conditions (Sheng, 1986; Qian, 1991). Thus, the region provides an excellent opportunity to evaluate the interactions between changing climatic conditions and vegetation. The climate is dominated by the alternating East Asian winter and summer monsoons (Chen *et al.*, 1991). Cold-dry north-west winds control the region in the winter season, and the warm-humid south-east summer monsoon penetrates further inland during the summer season, supplying 38–65% of the annual rainfall (Qian, 1991).

Mean annual precipitation and temperature vary systematically across the CLP, ranging, respectively from 200 mm and 8 °C in the north-west to 700 mm and 14 °C in the south-east (Fig. S1). The present vegetation is mainly dominated by semi-arid grasses and shrubs comprising both  $C_3$  and  $C_4$  plants. *Stipa bungeana* and *Lespedeza davurica* are common  $C_3$  plants and *Bothriochloa ischaemum* is a common  $C_4$  grass (Yin and Li, 1997; Wang, 2001).

#### Materials and methods

#### Data and chronology

The  $C_3$  and  $C_4$  photosynthetic pathways result in fractionation of carbon isotopes to differing degrees, with corresponding  $\delta^{13}$ C ranges of about -22 to -30 and -10 to -14% (e.g. Bender, 1971; Farquhar, 1983). The  $\delta^{13}$ C values of SOM reflect the carbon isotopic composition of the organic matter

derived from C<sub>3</sub> and C<sub>4</sub> plants with little or no isotopic fractionation (Melillo *et al.*, 1989; Wang *et al.*, 2008). Thus, they are a reliably proxy for tracing changes in the composition of the parent vegetation (Schwartz *et al.*, 1986; Cerling, 1999; Hatté *et al.*, 2001).

In this study, we assembled previously published  $\delta^{13}C$  records of SOM from loess–paleosol sequences of the CLP. The  $\delta^{13}C$  records were selected based on the following criteria: (i) record length spanned most of the last 21 ka bp; (ii) a magnetic susceptibility record was available for the same section, enabling high-resolution stratigraphic correlation (Heller and Liu, 1984); and (iii) sampling resolution was better than 1000 years. Based on these criteria, 28 loess sites were selected (Fig. 1; Table S1). In addition, modern surface soil  $\delta^{13}C$  data ( $n\!=\!34$ ) were assembled from Wang (2001) and Liu *et al.* (2002).

Optically stimulated luminescence (OSL) dating allows the direct and accurate determination of the burial ages of lithogenic sediments and has been widely applied to Chinese loess–paleosol sequences (Stevens and Lu, 2009; Kang *et al.*, 2011, 2013). A high-precision chronology was constructed for the Weinan loess section (designated Weinan-1) on the CLP (Kang *et al.*, 2011, 2013) based on high-resolution quartz OSL dating (at 10–20-cm intervals). In addition, additional OSL dating results were available for Xifeng (designated Xifeng-1, Lu *et al.*, 2006a), Luochuan (Luochuan-1, Lu *et al.*, 2007), Lantian (Lantian-1, Lu *et al.*, 2006b) and Yuanbao (Yuanbao-1, Lai and Wintle, 2006).

The loess-paleosol sequences of the CLP are readily correlated, especially on the basis of their magnetic susceptibility variations, which can be matched in detail from site to site across the plateau, and thus they can be used as a high-resolution stratigraphic tool (Heller and Liu, 1984). We correlated the magnetic susceptibility record of the Weinan-1 section, for which a high-resolution OSL chronology was available, with the magnetic susceptibility records of 20 of our selected sites in this study. This enabled seven age control

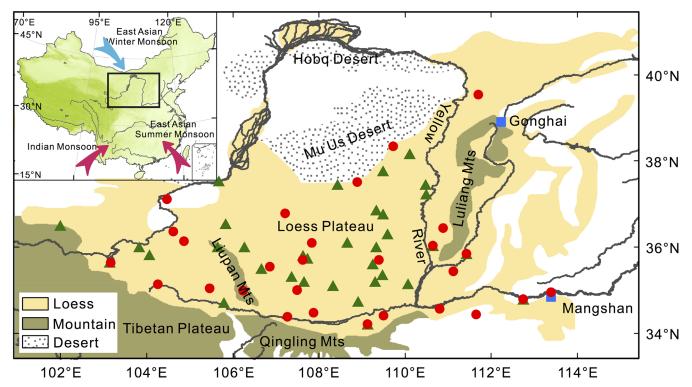


Figure 1. Map of the Chinese Loess Plateau, prevailing monsoon circulation and study sites. Red dots represent the locations of the studied loess–paleosol sequences and green triangles represent surface soil sites. Arrows in the inset map indicate the direction of the winter and summer monsoonal winds.

points to be applied to the stable carbon isotope records used in the study (see Text S1 and Fig. S2 for details).

Age models for five sites (Luochuan, Xifeng, Qingyang, Lantian and Yuanbao) were established based on correlations with nearby sections with reliable OSL chronologies (see Text S1 and Fig. S2 for details). The chronologies of the other three sites, Jingyuan (Liu *et al.*, 2011), Yulin (Lu *et al.*, 2013) and Liangjiacun (Tan *et al.*, 2015), were constructed based on their respective OSL ages, which are independent and objective; thus, we did not need to correlate them with other OSL-based chronologies.

The ages of the sediment depths between the age control points were then estimated by interpolation using a magnetic susceptibility model (Kukla *et al.*, 1988; Kukla and An, 1989). Consequently, independent high-resolution timescales for the selected sections for the past 21 kabp were obtained. Although this chronology, based on magnetic susceptibility correlations, may lead to some degree of error, we consider it acceptable for the analysis of environmental changes on an orbital timescale.

A novel aspect of this study was the application of a physiological process-based vegetation model, BIOME4 (Kaplan et al., 2003; Hatté and Guiot, 2005), to study the sensitivity of C<sub>3</sub> and C<sub>4</sub> plants to changes in climate and atmospheric CO2 since the LGM. Model inputs included data on soil textural class, absolute minimum temperature, atmospheric CO<sub>2</sub> concentration and monthly climate (temperature, precipitation and insolation). In this study, the modern atmospheric CO2 concentration was set to 380 ppmv, because most of the modern plants and surface soil samples were collected during the 2000s. The soil properties were derived from the FAO digital soil map of the world (Food and Agriculture Organization (FAO), 1995). The modern monthly climate dataset and absolute minimum temperatures were compiled by the Chinese Central Meteorological Office. The artificial neural network technique was used to interpolate the modern monthly climate conditions and absolute minimum temperatures (Guiot et al., 1996).

### Reconstruction of the relative abundance of $C_3$ and $C_4$ plants

To estimate the relative abundance of  $C_3$  and  $C_4$  plants, it is essential to determine the respective end-member  $\delta^{13}C$  values. Previous studies have revealed that the  $\delta^{13}C$  values of  $C_3$  and  $C_4$  plants are affected by the atmospheric  $CO_2$  concentration, the  $\delta^{13}C$  of atmospheric  $CO_2$  ( $\delta^{13}C_{atm}$ ), precipitation and temperature (Farquhar *et al.*, 1989; Brugnoli and Farquhar, 2000). During the past 21 ka bp,  $\delta^{13}C_{atm}$  was positive by 1.7–1.4‰ compared to that of the present-day. This is largely due to the incorporation of atmospheric  $CO_2$  that is depleted in  $^{13}C$  from fossil fuel combustion, and changes in isotopic fractionation during air/sea gas exchange (Freyer and Belacy, 1983; Schmitt *et al.*, 2012). Here, we assumed a mean value of 1.55‰ for the  $\delta^{13}C_{atm}$  correction (Table 1).

Coefficients of -0.40%/100 mm and 0.104%/°C have been reported for the relationship of C<sub>3</sub> plants to respective changes in precipitation and temperature on the CLP (Wang et al., 2008, 2013); however, these coefficients are negligible for C<sub>4</sub> plants (Wang et al., 2008, 2013). During the LGM, reconstructed precipitation and temperature on the CLP were lower by  $\sim$ 100–300 mm and  $\sim$ 7 °C, respectively, compared to today (Wu et al., 2002; Peterse et al., 2011). In this case, the combined effect of climate change on the  $\delta^{13}$ C values of C<sub>3</sub> plants would be about -0.3 to 0.5%. During the mid-Holocene, the reconstructed precipitation and temperature values were higher than present by  $\sim$ 130 mm and by  $\sim$ 2-4 °C, respectively (Shi et al., 1992; Chen et al., 2015); the net effect of these differences on  $C_3$  plants would be about -0.3 to -0.1%. Hence, even during these two intervals of relatively extreme climatic conditions, the effects of climate on the  $\delta^{13}C$  values of  $C_3$  plants were probably insignificant. Consequently, we ignored the effect of climate changes since the LGM on the  $\delta^{13}$ C of C<sub>3</sub> plants. In addition, we also ignored the effect of changes in atmospheric CO2 concentration, because carbon isotope discrimination in C<sub>3</sub> plants may be independent of natural variations in CO<sub>2</sub> concentration on geological timescales (Diefendorf et al., 2015; Kohn, 2016; Voelker et al., 2016).

Soils show progressive enrichment in the  $^{13}$ C content of SOM irrespective of changes in vegetation type ( $C_3$  and  $C_4$ ) due to microbial degradation. An  $\sim 1.0\%$  increase in the  $^{13}$ C value of SOM compared to above-ground vegetation has been documented (Melillo *et al.*, 1989). After correcting for the effect of  $\delta^{13}C_{atm}$  and for SOM degradation, we tentatively adopted respective end-member  $\delta^{13}$ C values of  $C_3$  and  $C_4$  plants of -24.1 and -10.0% for the past 21 ka bp (Table 1). Estimated  $C_4$  plant abundance was then calculated using the isotope mass-balance equation:

$$C_4(\%) = \frac{\left(\delta^{13}C - \delta^{13}C_{C3}\right)}{\delta^{13}C_{C4} - \delta^{13}C_{C3}} \times 100$$

where  $\delta^{13}C_{C3}$  and  $\delta^{13}C_{C4}$  are the end-member  $\delta^{13}C$  values of  $C_3$  and  $C_4$  plants;  $\delta^{13}C$  is the  $\delta^{13}C$  of SOM; and  $C_4(\%)$  is the relative abundance of  $C_4$  plants in the local environment.

The spatiotemporal variation of  $C_4$  abundance was reconstructed for each 1000-year time slice. Temporal changes in  $\delta^{13}C$  values and  $C_4$  abundance were estimated by averaging the  $\delta^{13}C$  values of the 28 sites (e.g. the values for 1 ka bp were the means during 0.5–1.5 ka bp, etc.). Contour maps of  $\delta^{13}C$  values and  $C_4$  abundance were created using Golden Surfer (Surfer Access System version 12.0, Golden Software, Inc., Golden, CO, USA). To generate isolines that had the property of minimum total curvature, a minimum curvature method was applied; the algorithm can produce a grid with a high degree of internal consistency (Briggs, 1974).

**Table 1.** Calculation of end-member  $\delta^{13}C$  values for  $C_3$  ( $\delta^{13}C_{C3}$ ) and  $C_4$  plants ( $\delta^{13}C_{C4}$ ) on the CLP since the LGM.

| Factor  | $\delta^{13}C_{C3}$ (‰) | δ <sup>13</sup> C <sub>C4</sub> (‰) | References   |
|---|-------------------------|-------------------------------------|--|
| Modern vegetation $\delta^{13}C_{atm}$ correction (+1.55%) Degradation correction (+1.0%) | -26.7                   | -12.6                               | Wang <i>et al.</i> (2003, 2006, 2008); Liu <i>et al.</i> (2005b) |
|   | -25.1                   | -11.0                               | Mauna Loa Observatory 2000; Schmitt <i>et al.</i> (2012)         |
|   | -24.1*                  | -10.0                               | Melillo <i>et al.</i> (1989)                                     |

<sup>\*</sup>Note that the net effect of temperature and precipitation would range from  $\sim$ -0.3 to 0.5% for the LGM and from -0.3 to -0.1% for the mid-Holocene (see 'Reconstruction of the relative abundance of  $C_3$  and  $C_4$  plants). If we assume the net effect is -0.3%, the  $C_4$  abundance estimated in this study may be underestimated by  $\sim$ 1.5%. Alternatively, if the net effect is 0.5%, the  $C_4$  abundance estimated in this study may be overestimated by  $\sim$ 3.5%. Thus, this small degree of uncertainty is insignificant and is not corrected for.

### Sensitivity analysis of the effects of climate and $CO_2$ concentration on $C_3$ and $C_4$ plants

BIOME4 is an equilibrium vegetation model (Kaplan *et al.*, 2003; Hatté and Guiot, 2005) which considers the effects of  $CO_2$  on net assimilation, stomatal conductance, leaf area index and ecosystem water balance. The model is a significant advance in the simulation of isotopic fractionation produced by  $C_3$  and  $C_4$  plants; it is conceptually related to the model of Lloyd and Farquhar (1994). The maximum potential intercellular-to-atmospheric  $CO_2$  concentration ( $c_i/c_a$ ) ratio is prescribed for each plant functional type (PFT) and the actual  $c_i/c_a$  value is subsequently calculated by iterative optimization. By weighting the monthly fractionation of the  $C_3$  and  $C_4$  plants in all PFTs with their respective net primary production at a given site, the mean annual isotopic fractionation is estimated (Hatté and Guiot, 2005).

To perform a sensitivity analysis to identify the dominant factors controlling the observed changes in C4 abundance since the LGM, the range of climatic and CO<sub>2</sub> concentration of input parameters used for the BIOME4 model should cover their respective ranges of variation since the LGM. Paleotemperature reconstructions on the CLP have revealed that during the early and mid-Holocene, mean annual temperature (MAT) was up to  $\sim$ 2–4 °C higher than today (Shi et al., 1992; Peterse et al., 2011), while at the LGM it was  $\sim$ 7 °C lower than today (Peterse et al., 2011). During the mid-Holocene, mean annual precipitation (MAP) was ~130 mm greater than today, a difference of ~30% (Chen et al., 2015); by contrast, at the LGM, MAP was  $\sim$ 100-300 mm lower than today, a difference of  $\sim$ 20–50% (Wu et al., 2002). In addition, atmospheric pCO<sub>2</sub> increased from the LGM level of  $\sim$ 180 ppmv to the modern level of ~380 ppmv (Lüthi et al., 2008; Mauna Loa Observatory; Table 2).

Three sensitivity experiments using a single variable method were carried out based on the estimated temperature, precipitation and  $\mathrm{CO}_2$  concentration (Table 2): (i) while monthly temperature was increased from  $-7\,^{\circ}\mathrm{C}$  below to  $+4\,^{\circ}\mathrm{C}$  above the modern temperature, precipitation and  $\mathrm{CO}_2$  were held constant at modern values; (ii) while monthly precipitation was increased from -50% below to +30% above modern precipitation, temperature and  $\mathrm{CO}_2$  were held constant at modern values; and (iii) when  $\mathrm{CO}_2$  concentration was increased from 180 to  $380\,\mathrm{ppmv}$ , temperature and precipitation were held constant at modern values.

#### Results

### Spatiotemporal variation of the relative abundance of C<sub>4</sub> plants since the LGM

The  $\delta^{13}$ C values of SOM indicate large changes in the relative abundance of C<sub>4</sub> plants since the LGM, from 8.4 to 26.8% (Figs 2 and S3). Mean C<sub>4</sub> abundance was around 10.9% during the glacial period and as high as 22.3% during the Holocene. C<sub>4</sub> abundance remained relatively stable at 8.6%

**Table 2.** Ranges of parameters used for sensitivity experiments on the CLP since the LGM.

| Parameter                                  | Range  |
|--|--|
| $\Delta T$ $\Delta P$ $CO_2$ concentration | [-7, +4] °C<br>[-50, +30] %<br>[180, 380] ppmv |

The climate ranges are in terms of the deviation from modern values (degrees for temperature and percentages for precipitation). *T*, mean annual temperature; *P*, mean annual precipitation.

from 21 to 19 ka bp, increased significantly from 16 to 11 ka bp, reached a maximum of 26.1% from 10 to 6 ka bp, and then gradually decreased to 13.5% at the present-day.

The spatial variations in  $\delta^{13}C$  values indicate that the distribution of  $C_4$  plants was characterized by an increasing NW–SE trend for all time intervals since the LGM (Figs 3 and S4–S5).  $C_4$  abundance was <~10% in the north-west and increased to ~10–30% towards the south-east during 21–19 ka bp. Thereafter,  $C_4$  abundance increased throughout the Loess Plateau, increasing from ~10–20% in the north-west to ~40–60% in the south-east, during 11–6 ka bp.  $C_4$  percentage values at the present-day increase from ~10–20% in the north-east to ~30% in the south-east.

### Validation of the BIOME4 model with modern data from the CLP

The BIOME4 simulation of the fractionation of carbon isotopes within the terrestrial biosphere has already been validated by Hatté and Guiot (2005) using modern data from woodland in southern Queensland, Australia (Stewart *et al.*, 1995), and from grassland and woodland along two transects in south-eastern Utah and south-central New Mexico (USA) (Van de Water *et al.*, 2002). However, it remains uncertain whether the BIOME4 model is applicable to the CLP, and therefore it is necessary to evaluate its reliability using the dataset presented here.

Validation was accomplished using the  $\delta^{13}$ C values of modern plants and surface soil samples (343 data points from 145 sites, see Table S2 for detailed information) for the CLP. Linear regression analysis was used to compare the simulated  $\delta^{13}$ C values at each site compared with the observed values and yielded the following regression equation: y=0.9501x-0.8078 ( $R^2=0.91$ ), where x and y are the observed and simulated values, respectively. The high coefficient of determination and the slope close to unity demonstrate that the BIOME4 model can be applied in the study region.

# Impact of climate and atmospheric $CO_2$ concentration on the relative abundance of $C_4$ plants

The results of sensitivity experiments to evaluate the response of C<sub>4</sub> plant abundance to changes in temperature, precipitation and CO<sub>2</sub> concentration are shown in Fig. 4. The relative abundance of C<sub>4</sub> plants exhibits a strongly increasing trend from 0.2 to 39.9% when temperature was increased from -7 °C below to +4 °C above modern temperature (Fig. 4, red squares). This indicates that C<sub>4</sub> plants are favored by higher temperatures. By contrast, although C<sub>4</sub> plant abundance increased slightly in the range of low precipitation, it generally decreased by  $\sim 1.1\%$  when precipitation was increased from -50% below to +30% above the modern value (Fig. 4, blue triangles). This indicates that lower precipitation favors C<sub>4</sub> plant growth. Similarly, C<sub>4</sub> abundance exhibited a negative shift of ~8.6% when atmospheric CO<sub>2</sub> concentration was increased from 180 to 380 ppmv (Fig. 4, green cycles), indicating that lower CO2 levels favor C4 plants.

The results of the three sensitivity experiments indicate that  $C_4$  abundance responded positively to increased temperature and negatively to increased atmospheric  $CO_2$  concentration and precipitation. In addition, it is noteworthy that the percentage of  $C_4$  plants changed more rapidly in response to changes in temperature than to changes in either atmospheric  $CO_2$  concentration or precipitation on the CLP since the LGM.

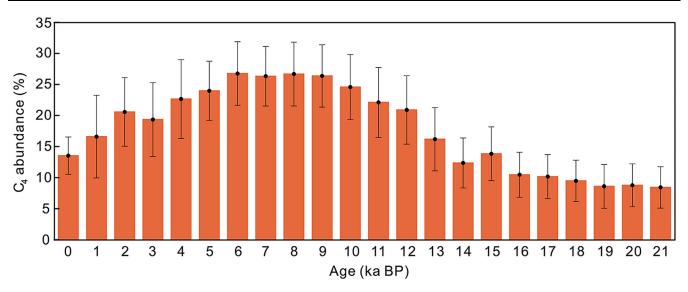


Figure 2. Temporal changes in C<sub>4</sub> abundance on the CLP since the LGM. The values are means, and the error bars represent the 95% confidence interval.

### **Discussion**

#### Comparison with previous studies

Although several previous studies have investigated temporal changes in the relative abundance of  $C_3$  and  $C_4$  plants at individual sites on the CLP since the LGM (e.g. Vidic and Montañez, 2004; Xie *et al.*, 2004; Liu *et al.*, 2011; Lu *et al.*, 2013), spatial changes have rarely been addressed. Moreover, while several studies have presented distribution patterns of  $C_4$  plants for the LGM and mid-Holocene intervals for the CLP and have shown that  $C_4$  plant abundance was characterized by an increasing trend along a NW–SE transect (Yao *et al.*, 2011; Yang *et al.*, 2015), the evolution of the spatial pattern of  $C_4$  plant representation since the LGM is unclear.

Compared with previous work, our reconstruction of  $C_4$  relative abundance is based on a greater number of sites (n=28), covering the entire CLP, and it provides a detailed picture of the spatiotemporal evolution of  $C_4$  plants since the LGM. The results indicate a significant increase in the representation of  $C_4$  plants both temporally and spatially: for example, the  $C_4$  representation increased from 8.6% during 21–19 ka bp to 26.1% during 10–6 ka bp (Fig. 2); in addition, the steepest spatial gradient in  $C_4$  representation was during the early Holocene ( $\sim$ 10–20% in the NW and  $\sim$ 40–60% in the SE; Figs 3 and S5).

Comparison of our reconstruction of the percentages of C<sub>4</sub> plants during the LGM and mid-Holocene with the results of other studies (Yao et al., 2011; Yang et al., 2015) reveals a general agreement that there was a significant NW-SE gradient in the representation of C4 plants, as well as a significant increase in their representation throughout the CLP in the mid-Holocene, compared to the LGM. However, there are some differences: our reconstructed C<sub>4</sub> plant abundances during the LGM are  $\sim$ 5–10% higher than those of Yao et al. (2011) and Yang et al. (2015); however, our values for the mid-Holocene are  $\sim$ 10–20% lower than those of Yao et al. (2011) and are similar to those of Yang et al. (2015). In addition, our results indicate that, compared to the LGM, the percentage of C<sub>4</sub> plants increased by ~10% in the NW and  $\sim$ 30% in the SE, as is also suggested by Yang et al. (2015). In contrast, however, Yao et al. (2011) suggest a larger increase throughout the Plateau ( $\sim$ 40%).

These disagreements can be explained by differences in the calculation of the end- member  $\delta^{13} \text{C}$  values for  $\text{C}_3$  and  $\text{C}_4$ 

plants. For example, Yao et al. (2011) used values of -28.0 and -11.6% for  $\delta^{13}C_{C3}$  and for  $\delta^{13}C_{C4}$  during the mid-Holocene, respectively, and therefore their C<sub>4</sub> abundance values may be overestimated. By contrast, Yao et al. (2011) set the  $\delta^{13}C_{C3}$  and  $\delta^{13}C_{C4}$  values as -23.4 and -11.6%during the LGM, and thus the C<sub>4</sub> abundance values may be slightly underestimated. In contrast, in the present study, we used  $\delta^{13}$ C values of modern plants from the CLP (Wang et al., 2003, 2006, 2008; Liu et al., 2005b), together with a consistent methodology (Table 1), which resulted in values of -24.1 and -10.0% for  $\delta^{13}C_{C3}$  and  $\delta^{13}C_{C4}$ , respectively. Therefore, we suggest that our study has more robust endmembers. In addition, the disagreements can be attributed to the fact that our dataset contains a larger number of loesspaleosol sites than those of Yao et al. (2011) (12 sites) and Yang et al. (2015) (21 sites). Thus, we suggest that overall our reconstruction provides a more accurate representation of the spatial distribution of C<sub>4</sub> plants across the CLP.

Our reconstruction of the evolution of the proportion of  $C_4$  plants on the CLP since the LGM is also consistent with studies of the changing vegetation composition of the North American Great Plains, which indicate a much greater abundance of  $C_4$  plants during the Holocene than during the LGM (e.g. Muhs *et al.*, 1999; Johnson and Willey, 2000). However, our results differ from most of the other studies of changes in  $C_4$  plant abundance at low latitudes, which indicate a transition from  $C_4$  dominance at the LGM to a mixture of  $C_3$  and  $C_4$  plants during the Holocene (e.g. Ficken *et al.*, 2002; Huang *et al.*, 2006; Castañeda *et al.*, 2007; Tierney *et al.*, 2010; Contreas-Rosales *et al.*, 2014).

In contrast to the  $\sim 1\%e^{-13}$ C-enriched organic matter in the paleosols, the isotopic signal of loess layers may be only slightly altered due to the lower degree of pedogenesis and the rapid burial of organic matter (Xie *et al.*, 2004; Obreht *et al.*, 2014). In this study,  $\delta^{13}$ C values consistently represent an enrichment of  $\sim 3\%e^{-13}$  in the upper parts of the mid-Holocene paleosol compared to the lower parts of the LGM loess unit in all studied sections (Figs S2–S4). This indicates that the variations in  $\delta^{13}$ C values can be attributed to changes in the composition of the plant community. However,  $C_4$  abundance during the last glacial may be slightly underestimated because we consistently corrected for SOM degradation by  $1\%e^{-13}$  in the loess layers, which is the same as for the paleosols. In addition, since carbon isotope fractionation in plants is greatly

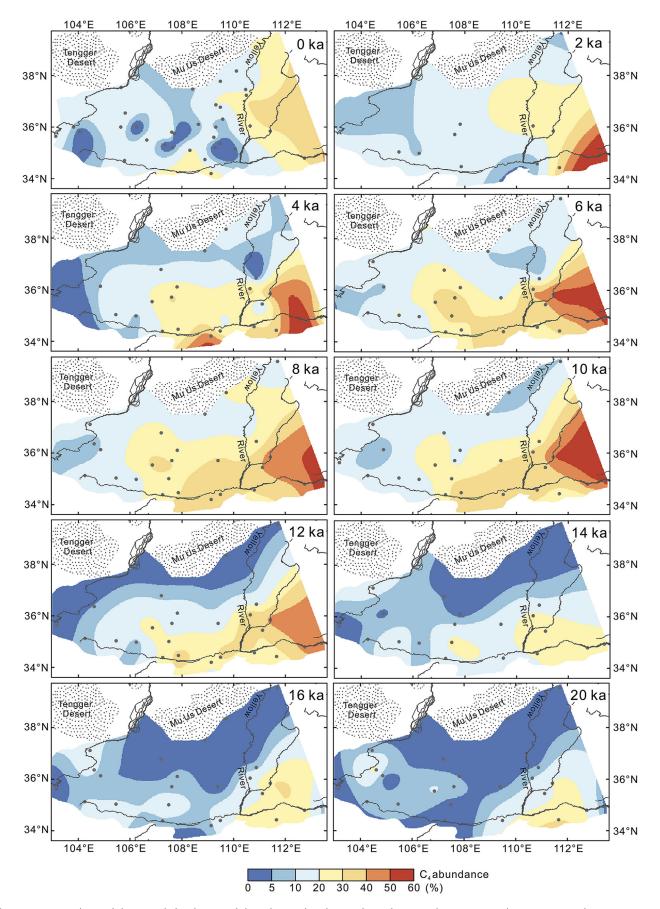
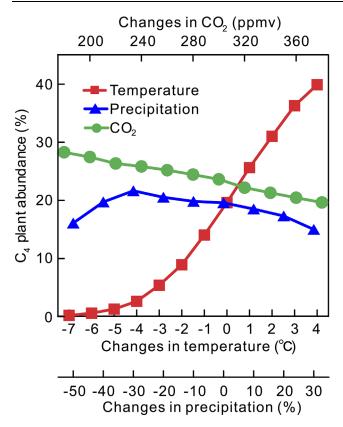


Figure 3. Time slices of the spatial distribution of the relative abundance of  $C_4$  plants on the CLP since the LGM. Grey dots represent site locations.



**Figure 4.** Results of a sensitivity analysis of the response of the relative abundance of  $C_4$  plants across the CLP to changes in temperature (red squares), precipitation (blue triangles) and atmospheric  $CO_2$  concentration (green circles). The BIOME4 model was run at a spatial resolution of  $0.1 \times 0.1^\circ$ .

affected by environmental factors (Farquhar *et al.*, 1989; Brugnoli and Farquhar, 2000), end-member  $\delta^{13}C$  values of  $C_3$  and  $C_4$  plants are likely to have varied with changes in climate, atmospheric  $CO_2$  level and  $\delta^{13}C_{atm}$  since the LGM. However, we simplified the effect of environmental factors on our calculation of end-member values and assumed them to be constant through the past 21 ka. Therefore, the estimates of  $C_4$  relative abundance presented herein are inevitably preliminary and there are some uncertainties.

### Possible driving mechanism of the expansion of $C_4$ plants on the CLP since the LGM

Several factors, such as atmospheric CO<sub>2</sub> concentration, precipitation and temperature, can influence C<sub>3</sub> versus C<sub>4</sub> variability (Sage *et al.*, 1999). C<sub>4</sub> plants have developed a CO<sub>2</sub>-concentrating mechanism and can suppress photorespiration at low CO<sub>2</sub>/O<sub>2</sub> ratios and thus they are favored over C<sub>3</sub> plants under low CO<sub>2</sub> conditions (Collatz *et al.*, 1998; Raven *et al.*, 1999; Sage, 2001); notably, this in accord with the results of the sensitivity analysis in the present study (Fig. 4, green cycles). However, the reconstructed increase in C<sub>4</sub> abundance from the LGM to the Holocene was accompanied by an increase in atmospheric CO<sub>2</sub> content (Fig. 5b,d), which contradicts the results of the sensitivity analysis and plant physiology. Therefore, the expansion of C<sub>4</sub> plants on the CLP since the LGM may not have primarily been driven by CO<sub>2</sub> levels.

Two other factors have been invoked to explain changes in the proportion of C<sub>4</sub> plants during glacial-interglacial cycles on the CLP: first, the strengthening of the East Asian summer monsoon has been proposed as a major controlling factor (An *et al.*, 2005; Liu *et al.*, 2005a; Yao *et al.*, 2011; Yang

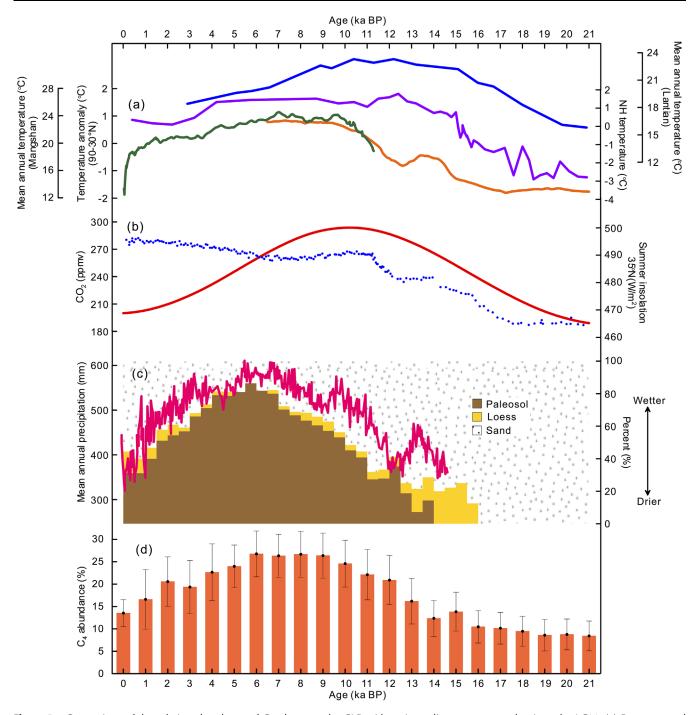
et al., 2015); and second, rising temperatures from glacial to interglacial have also been suggested to favor an increased proportion of  $C_4$  plants (Gu et al., 2003; Zhang et al., 2003; Rao et al., 2012).

Because the East Asian summer monsoon is characterized by synchronous changes in precipitation and temperature (Chen et al., 1991), with higher precipitation and temperatures during interglacials, previous studies have found it difficult to distinguish their effects on C<sub>4</sub> plants. In the present study, we have attempted to distinguish the effects of temperature, precipitation and atmospheric CO2 concentration on C<sub>4</sub> plant growth using a physiological process-based model. Our results reveal that C<sub>4</sub> abundance on the CLP is strongly positively correlated with increasing temperature since the LGM, and more weakly and negatively correlated with precipitation (Fig. 4). Undoubtedly, there are uncertainties in the simulation of the response of plants to environmental factors, including atmospheric CO2, temperature and precipitation, using the coupled carbon and water flux scheme of the BIOME4 model. In addition, we compared the temporal variation of reconstructed percentages of C4 plants with various climatic records (Fig. 5) to further determine the dominant factor affecting the expansion of C4 plants on the CLP since the LGM.

A positive relationship between the temporal trend in C<sub>4</sub> abundance and precipitation (or effective moisture) reconstructions since the LGM is observed with increased C<sub>4</sub> abundance, corresponding to increased precipitation (Fig. 5c,d). However, it is clear that there is a phase difference in that increases in C<sub>4</sub> abundance lead those in precipitation. For example, a maximum in C4 abundance occurred during the early Holocene, while the precipitation maximum occurred during the mid-Holocene. By contrast, the results of the sensitivity analysis indicate that C<sub>4</sub> plants have a competitive advantage over C<sub>3</sub> plants under arid conditions (less precipitation) (Fig. 4, blue triangles) because of their greater water-use efficiency (Raven et al., 1999). However, the observed relationship between the temporal trends in the relative abundance of C<sub>4</sub> plants and paleoprecipitation (Fig. 5c,d), with increased C<sub>4</sub> abundance during wetter intervals, contradicts the results of previous studies. Therefore, we suggest that precipitation was not a dominant factor in the observed expansion of C<sub>4</sub> plants. Furthermore, our reconstruction of the spatial representation of C<sub>4</sub> plants indicates that they were less abundant in the relatively arid north-west CLP and more abundant in the more humid south-east, at all times (Figs 3 and S5). This further suggests that precipitation played only a relatively limited role in controlling the proportion of C<sub>4</sub> plants on the CLP.

Changes in the proportion of  $C_4$  plants on the CLP are well correlated with temperature (Peterse *et al.*, 2011; Gao *et al.*, 2012; Shakun *et al.*, 2012; Marcott *et al.*, 2013) (Fig. 5a,d). There is an increase from the LGM to the early Holocene, which is followed by a subsequent decrease, despite a slight lead in summer insolation (Laskar *et al.*, 2004) relative to the record of  $C_4$  abundance (Fig. 5b,d). Sensitivity analysis also revealed that  $C_4$  abundance increased significantly with increasing temperature, and that temperature exerted a stronger control on  $C_4$  plants than either atmospheric  $CO_2$  or precipitation (Fig. 4). Therefore, our results support the conclusion that the expansion of  $C_4$  plants since the LGM was mainly triggered by rising temperature.

Since global climate during the early Holocene was probably warmer than today (Shakun *et al.*, 2012; Marcott *et al.*, 2013), our finding that the highest abundance of C<sub>4</sub> plants on the CLP during the early Holocene was caused by increasing temperature is a potentially useful reference for a future warmer climate. From this long-term perspective



**Figure 5.** Comparison of the relative abundance of  $C_4$  plants on the CLP with various climate proxy results since the LGM. (a) Reconstructed mean annual temperature at Mangshan (Peterse *et al.*, 2011) (purple line) and Lantian (Gao *et al.*, 2012) (blue line) on the CLP, changes in mean annual temperature at 30–90°N (Marcott *et al.*, 2013) (green line) and in the Northern Hemisphere (Shakun *et al.*, 2012) (orange line). (b) Summer insolation at 35°N (Laskar *et al.*, 2004) (red line) and atmospheric  $CO_2$  concentration (Lüthi *et al.*, 2008) (blue dots). (c) History of effective moisture based on the sedimentary facies of the East Sandy lands in northern China (Li *et al.*, 2014), and an East Asian Summer Monsoon precipitation reconstruction based on pollen data from Gonghai Lake on the north-east margin of the CLP (Chen *et al.*, 2015) (red line). (d) Evolution of the relative abundance of  $C_4$  plants on the CLP since the LGM (this study).

regarding the principal driver of  $C_4$  plant abundance on the CLP, it is possible that the relative abundance of  $C_4$  plants will increase as global warming continues.

### Conclusions

We have combined 28  $\delta^{13}$ C records of SOM from loess-paleosol sequences and 34  $\delta^{13}$ C values of modern surface soils to reconstruct the spatiotemporal pattern of C<sub>4</sub> plant abundance across the CLP since the LGM. The results reveal that the relative abundance of C<sub>4</sub> plants generally increased since the LGM, reaching a maximum of 26.1% during 10–6

ka bp, then decreased during the late Holocene. Spatially,  $C_4$  abundance was characterized by an increasing trend along a NW–SE transect across the CLP, with the steepest spatial gradient during the early Holocene.

Combined with the use of the BIOME4 model to study the sensitivity of  $C_4$  plants to changes in climate and atmospheric  $CO_2$  concentration, our results suggest that rising temperature was the dominant factor driving  $C_4$  plant expansion on the CLP since the LGM; by contrast, precipitation and  $CO_2$  concentration played a relatively limited role. On the basis of the present results, the relative abundance of  $C_4$  plants on the CLP may increase in the future as global warming continues.

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### **Supporting Information**

Additional supporting information may be found in the online version of this article at the publisher's web-site:

**Text S1.** Details of the age models and correlation of the sections.

Figure S1. Modern climate of the CLP.

**Figure S2.** Stratigraphic column, magnetic susceptibility (SUS), and  $\delta^{13}$ C of soil organic matter for the selected loess sections across the CLP, and correlation with the chronologies of the Weinan-1 (Kang *et al.*, 2011, 2013), Xifeng-1 (Lu *et al.*, 2006a), Luochuan-1 (Lu *et al.*, 2007), Lantian-1 (Lu *et al.*, 2006b) and Yuanbao-1 (Lai and Wintle, 2006).

**Figure S3.** Temporal changes in organic carbon isotope composition for the CLP since the LGM. Values are means, and error bars represent 95% confidence intervals.

**Figure S4.** Changes in the spatial distribution of carbon isotope values for the CLP at 1000-year intervals since the LGM. Grey cycles represent the location of data sites.

**Figure S5.** Changes in the spatial distribution of the relative abundance of  $C_4$  plants on the CLP since the LGM. Grey circles represent the location of data sites.

**Figure S6.** Comparison of the carbon isotope values ( $\delta^{13}$ C) on the CLP with temperature, precipitation and magnetic susceptibility (MS).

**Table S1.** Characteristics of the carbon isotope data sites on the CLP.

**Table S2.**  $\delta^{13}C$  data for surface soil and modern plants on the CLP.

Abbreviations. CLP, Chinese Loess Plateau; LGM, Last Glacial Maximum; MAP, mean annual precipitation; MAT, mean annual temperature; MS, magnetic susceptibility; OSL, optically stimulated luminescence; PFT, plant functional type; SOM, soil organic matter.

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